

LOUISIANA BOARD OF ETHICS
MINUTES
June 20, 2019

The Board of Ethics met on June 20, 2019 at 2:30 p.m. in the Griffon Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Bruneau, Colomb, Dittmer, Grand, Lavastida, McAnelly, Meinert, and Smith present. Absent were Board Members Couvillon, Leggio and Roberts. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, David Bordelon, LaToya Jordan, Jennifer Land and Greg Thibodeaux.

Ms. Allen introduced the new staff attorney, LaToya Jordan, to the Board.

Board Member Couvillon arrived to the meeting at 2:35 p.m.

Ms. Allen presented to the Board an overview of the selection process for the Executive Secretary position which will become vacant following Ms. Grier's retirement.

Board Member Roberts arrived to the meeting at 3:02 p.m.

On motion made, seconded and unanimously passed, the Board agreed to add consideration of the commencement of the procedure for the selection of a new Executive Secretary to the Board's agenda.

Following the overview by Ms. Allen, the Board began discussion of the selection process for the new Executive Secretary. It was the consensus of the Board to have Ms. Allen and Ms. Grier review the applications and submit the names of ten (10) applicants to the Board for consideration. Chairman McAnelly suggested that further discussion on the process could be deferred to the meeting on Friday.

The Board unanimously resolved into executive session to consider complaints and reports

deemed confidential pursuant to Section 1141 of the Code of Governmental Ethics.

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EXECUTIVE SESSION

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The Board unanimously resolved into general business session.

Ms. Allen advised the Board that the agency's financial audit conducted by the Office of the Legislative Auditor had been completed. Next, a performance audit of the agency will commence and is projected to last about six (6) months.

On motion made, seconded and unanimously passed, the Board adjourned at 5:35 p.m.

Secretary

APPROVED:

Chairman

LOUISIANA BOARD OF ETHICS
MINUTES
June 21, 2019

The Board of Ethics met on June 21, 2019 at 9:00 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Bruneau, Colomb, Couvillon, Dittmer, Lavastida, McAnelly, Meinert, Roberts and Smith present. Absent were Board Members Grand and Leggio. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, David Bordelon, Jennifer Land, LaToya Jordan and Greg Thibodeaux.

Mr. Kenneth P. Garrett, Sr., a candidate for State Representative, District 102 in the October 24, 2015 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 18-1332 for a waiver of the \$2,000 late fee assessed against him for failing to timely amend the 2017 Supplemental campaign finance disclosure report to accurately disclose the required information before the late fee was assessed.

Board Member Grand arrived to the meeting at 9:15 a.m.

After hearing from Mr. Garrett, on motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$2,000 late fee.

Ms. Lauren Field, a candidate for West Feliciana Parish President in the November 6, 2018 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request for reconsideration in Docket No. 19-077 of a waiver of the \$200 and \$480 late fees assessed against her for filing the Special and 10-G campaign finance disclosure reports 8 and 8 days late, respectively. After hearing from Ms. Field, on motion

made, seconded and unanimously passed, the Board affirmed its decision to (1) suspend all but \$200 of the late fee in connection with the Special campaign finance disclosure report conditioned upon future compliance with the Campaign Finance Disclosure Act. If the payment is not received in 30 days, the full amount becomes due and owing; and, (2) decline to waive the \$480 late fee in connection with 10-G campaign finance disclosure report conditioned upon future compliance with the Campaign Finance Disclosure Act.

Mr. M. David Freneaux, a member of the Central City Council, appeared before the Board in connection with a request for reconsideration in Docket No. 19-266 of an advisory opinion which concluded that as a member of the Central City Council, he would be prohibited from entering into a settlement with the City of Central relative to a lawsuit he filed prior to becoming a councilman. After hearing from Mr. Freneaux, on motion made, seconded and unanimously passed, the Board concluded that based upon the unique set of circumstances in this particular matter, the Code of Governmental Ethics would not prohibit Mr. Freneaux from entering into a settlement with the City of Central while he serves as a member of the Central City Council. The Board further cautioned that Mr. Freneaux should recuse himself from participating in matters before the City of Central involving the lawsuit or settlement thereof. Mr. Freneaux is not prohibited from participating in discussion and debate concerning the matter pursuant to Section 1120 of the Code of Governmental Ethics provided that he verbally discloses the nature of the conflict or potential conflict during his participation in the discussion or debate prior to any vote being taken.

Representative Steve Pugh appeared before the Board in connection with a request for an advisory opinion in Docket No. 19-283 regarding whether he may be employed by the House of Representatives after his term of office as a member of the House of Representatives ends. After

hearing from Representative Pugh, on motion made, seconded and passed by a vote of 8 yeas by Board Members Bruneau, Colomb, Couvillon, Dittmer, Grand, Lavastida, McAnelly and Meinert, 1 nay by Board Member Smith and 1 abstention by Board Member Roberts, the Board concluded that Section 1121A of the Code of Governmental Ethics would prohibit Representative Pugh from accepting employment with the Louisiana House of Representatives to serve as Capitol Foundation Coordinator for two years after his term of office ends. The Board further advised that if the Capitol Foundation is created as a separate entity in the future and Representative Pugh is interested in seeking employment as its coordinator, another advisory opinion should be requested from the Board.

The Board recessed at 11:25 a.m. and resumed back into general business session at 11:35 a.m.

Mr. Dennis Blunt, attorney for the East Baton Rouge Parish School Board, appeared before the Board in connection with a request for an advisory opinion in Docket No. 19-447 regarding whether Tramelle Howard may continue to receive a thing of economic value for services rendered to City Year while City Year has a contractual, business or financial relationship with the East Baton Rouge Parish School Board and at a time when Tramelle Howard serves a member of the East Baton Rouge Parish School Board. After hearing from Mr. Blunt, on motion made, seconded and unanimously passed, the Board concluded that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Tramelle Howard, while serving as a member of the East Baton Rouge Parish School Board, from receiving any thing of economic value for services rendered to City Year at a time when City Year has a contractual, business or financial relationship with the East Baton Rouge Parish School Board. The Board further concluded that Section 1117 of the Code of Governmental

Ethics would prohibit City Year from remitting payments to Mr. Howard for services rendered to City Year while City Year has a contractual, business or financial relationship with the East Baton Rouge Parish School Board and while Mr. Howard serves as a member of the school board.

Mr. T. Bradley Keith appeared before the Board in connection with a request in Docket No. 19-458 for a waiver of the \$150 late fee assessed against him for the late filing of a December 2018 Lobbyist Expenditure Report and an untimely request for reconsideration of the Board's decision to suspend all but \$1,000 of a \$1,500 late fee based on future compliance assessed against him for the late filing of a Supplemental Lobbyist Registration report. On motion made, seconded and unanimously passed, the Board agreed to reconsider the untimely request for reconsideration of the Board's decision to suspend all but \$1,000 of a \$1,500 late fee. After hearing from Mr. Keith, on motion made, seconded and unanimously passed, the Board (1) declined to waive the \$150 late fee in connection with the December 2018 Lobbyist Expenditure Report; and, (2) suspended the \$1,000 late fee in connection with the Supplemental Lobbyist Registration report conditioned upon future compliance with the Lobbyist Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request for reconsideration in Docket No. 19-279 of a waiver of the \$760 late fee assessed against Corey R. Arbourgh, a candidate for Plaquemines Parish Council, District 3, in the November 6, 2018 election, for filing the 10-G campaign finance disclosure report 19 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$760 late fee.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G9-G26 en globo subject to any items being removed from the en globo listing for further

discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G9-G26, excluding item G20, taking the following action:

Adopted an advisory opinion in Docket No. 19-492 concluding that no disqualification plan is required in connection with Casey Beach serving as a volunteer part-time patrolman for the Town of Wisner while her husband, Billy Beach, serves as the elected Chief of Police for the Town of Wisner, since Chief Beach is an elected official and not a public employee. The Board further advised that if a transaction in which Casey Beach has substantial economic interest arises in the future, an advisory opinion request should be submitted before taking any action.

Adopted an advisory opinion in Docket No. 19-545 concluding that no violation of the Code of Governmental Ethics is presented by the continued employment of James Clarke's daughter with the University of Louisiana at Lafayette if he is appointed to the Board of Supervisors for the University of Louisiana System, since Mr. Clarke's daughter has been employed for more than one (1) year prior to her father's potential appointment. The Board further advised that Section 1120.4 of the Code of Governmental Ethics allows Mr. Clarke to recuse himself from participating in any transaction involving the Board of Supervisors in which his daughter may have a substantial economic interest.

Adopted an advisory opinion in Docket No. 19-555 concluding that no violation of the Code of Governmental Ethics is presented by Robert C. Hecker, Sr., a former employee of Louisiana State University (LSU), teaching fire training classes to fire departments, including David Crockett Steam Volunteer Fire Company Number One, since he will not be assisting another person for compensation in transactions in which he participated while employed by Louisiana State University

or providing these services through a contract involving his former agency.

Adopted an advisory opinion in Docket No. 19-560 concluding that no violation of the Code of Governmental Ethics is presented by the employment of Bruce Coulon's brother with Sequel Electrical while Sequel Electrical transacts business with the City of Bunkie and Bruce Coulon serves as the Mayor of the City of Bunkie, since Mayor Coulon's brother does not have a controlling interest in Sequel Electrical. However, Mayor Coulon's brother would be prohibited from representing Sequel Electrical as its salesperson in any contracts or transactions with the City of Bunkie. The Board further advised that Mayor Coulon's brother is required to file a financial disclosure statement annually with the Board as long as Sequel Electrical is transacting business with the City of Bunkie and as long as Bruce Coulon serves as Mayor.

Adopted an advisory opinion in Docket No. 19-561 concluding that no violation of the Code of Governmental Ethics is presented by Kyle Kennington continuing his employment with Community Bank of Louisiana if he is elected to serve on the DeSoto Parish Police Jury, since the Desoto Parish Police Jury is a local depositing authority and La. R.S. 39:1233.1 creates an exception for such scenarios. However, Mr. Kennington would be required to file an annual disclosure statement by May 15th in accordance with Section 1114A of the Code of Governmental Ethics disclosing income received from Community Bank of Louisiana in the prior calendar year. Additionally, he would be required to recuse himself from participating in matters before the DeSoto Parish Police Jury in which Community Bank of Louisiana has a substantial economic interest. Each time Mr. Kennington recuses himself from participating in the matter, he is required to file a disclosure statement within 15 days.

Adopted an advisory opinion in Docket No. 19-565 concluding that no violation of the Code

of Governmental Ethics is presented by Greg Triolo, a Radiologic Technologist with the LSU Medical Center-Lallie Kemp in Independence, Tangipahoa Parish, being employed as the Radiology Supervisor or Radiology Manager at Lallie Kemp while his wife, Marissa Tiolo, is employed as a Radiologic Technologist in the mammography section at Lallie Kemp. The Board further advised that in the event Mr. Triolo is promoted to either position and would be required to supervise his wife, he will need to submit a disqualification plan to the Board for approval pursuant to Section 1112C of the Code of Governmental Ethics.

Adopted an advisory opinion in Docket No. 19-566 concluding that no violation of the Code of Governmental Ethics is presented by Steven Evans, Chief of Police for the Village of Eros, Jackson Parish, providing bail bond services while employed as the Eros Chief of Police or a reserve police officer for the North Hodge Police Department provided that he does not perform bail bond services in the Village of Eros or the Village of North Hodge or for any person who has been arrested by the Eros or North Hodge Police Departments.

Adopted an advisory opinion in Docket No. 19-571 concluding that no violation of the Code of Governmental Ethics is presented by Richard Allen Robertson continuing his employment with the East Carroll Parish Sheriff's Department while he is a candidate for member of the East Carroll Parish Police Jury or member of the East Carroll Parish School Board. However, if Mr. Robertson is elected to either office, and his employment continues with the East Carroll Parish Sheriff's Department, the Board suggested that he contact the Attorney General's Office for advice associated with the dual office holding laws.

Accepted for filing, the disqualification plan in Docket No. 19-572 regarding the employment of Karl Mann's wife, Dianne Parker, by the DeSoto Parish EMS while Mr. Mann serves as the

DeSoto Parish Emergency Medical Service Assistant Administrator, since it does not appear that Karl Mann is an agency head. However, even if he was deemed to be an agency head, Ms. Parker's employment would not be prohibited because she would have been employed with the DeSoto Parish EMS for more than one year prior to her marriage to Karl Mann. In addition, the Disqualification Plan is sufficient and compliant pursuant to the Board's Rules and Section 1112C of the Code of Governmental Ethics.

Adopted an advisory opinion in Docket No. 19-573 concluding that Section 1113A of the Code of Governmental Ethics prohibits the Franklin Parish Police Jury from awarding a public bid contract to a member of the immediate family of a police juror. The Board further advised that the exception in Section 1123(22) of the Code of Governmental Ethics does not apply to a member of the governing authority of a parish.

Adopted an advisory opinion in Docket No. 19-574 concluding that no violation of the Code of Governmental Ethics is presented by Kea Sherman continuing to serve on the Louisiana Environmental Education Commission while he campaigns for House District 98. The Board further suggested that Mr. Sherman may want to seek guidance from the Attorney General's Office on the dual office holding laws if elected.

Adopted an advisory opinion in Docket No. 19-590 concluding that Section 1121B of the Code of Governmental Ethics would prohibit Sam Territo, former Director of Facility Services at LSU Baton Rouge, for a period of two years following his retirement, from assisting Johnson Controls for compensation in providing HVAC services to LSU, since he participated in providing these services while employed by LSU and he would be rendering the same services to his former agency, LSU Facility Services.

Adopted an advisory opinion in Docket No. 19-591 concluding that no violation of the Code of Governmental Ethics is presented by the Town of Gueydan doing business with Gueydan Tire Company, a limited liability company owned by the step-daughter of Shawn Theriot, the elected Chief of Police, since it is not owned by Chief Theriot or an immediate family member. Further, Chief Theriot's co-signing a loan for Gueydan Tire Company or his performing voluntary services at Gueydan Tire Company during his off-duty hours would not prohibit the Town of Gueydan from doing business with Gueydan Tire Company. Since Chief Theriot does not own a controlling interest and Ashlyn Martin Murphy, the owner, is not an immediate family member of Chief Theriot, there is no violation of Section 1113A of the Code of Governmental Ethics and since Chief Theriot is not being compensated for his work at Gueydan Tire Company, there is no violation of Section 1111C(2)(d) of the Code of Governmental Ethics. The Board further advised that because Chief Theriot has co-signed a loan for Gueydan Tire Company, that becomes an existing contract with another person which may directly affect the economic interest of the public servant, thus Section 1112B(5) of the Code of Governmental Ethics would prohibit Chief Theriot from referring business from the Gueydan Police Department to Gueydan Tire Company.

Adopted an advisory opinion in Docket No. 19-596 concluding that Section 1113A(1) of the Code of Governmental Ethics prohibits Tammy Rogers, the spouse of St. Mary Parish Councilman Dale Rogers, from being appointed to the St. Mary Parish Tourist Commission by the St. Mary Parish Council, since the appointment is a transaction under the supervision or jurisdiction of her husband's agency.

Adopted an advisory opinion in Docket No. 19-598 concluding that no violation of the Code of Governmental Ethics is presented by Darell Wall, Chief of Police for the Village of Mooringsport,

working for the village's water and sewer department, since the police department and the water and sewer department are two separate public agencies. The Board further suggested that Mr. Wall should contact the Attorney General's Office as to the application of any dual office holding provisions.

Adopted an advisory opinion in Docket No. 19-602 concluding that no violation of the Code of Governmental Ethics is presented by a company, FlowStream, owned by Alicia Kiremire, an Adjunct Instructor in the College of Engineering & Sciences at Louisiana Tech University, entering into a contract with Louisiana Tech to perform grant-related services for the College of Education. The Board further advised that Section 1113A of the Code of Governmental Ethics would prohibit the company from entering into a contract with Louisiana Tech to perform grant-related services for the College of Engineering & Science, since as an Adjunct Instructor in the College of Engineering & Science, Ms. Kiremire's agency is the College of Engineering & Science and she or her legal entity in which she has a controlling interest is prohibited from bidding on or entering into any contract, subcontract, or other transaction that is under the supervision or jurisdiction of her agency. In addition, because FlowStream would be contracting with the College of Education, Ms. Kiremire would not be prohibited from receiving compensation from FlowStream should she render services to or for FlowStream, while she is employed in the College of Engineering & Science.

Adopted an advisory opinion in Docket No. 19-620 concluding that no violation of the Code of Governmental Ethics is presented by the Avoyelles Parish Airport Authority entering into a lease for a hangar with A.J. Roy, III, a board member, since Section 1123(20) of the Code of Governmental Ethics provides an exception which would allow Mr. Roy to enjoy any of the services available at the airport because he will pay the same price to lease a hangar as other lessees.

Adopted an advisory opinion in Docket No. 19-575 concluding that no violation of the Code of Governmental Ethics is presented by Gregory Mattson II, a former staff engineer for the Coastal Protection and Restoration Authority (CPRA), assisting S&ME, Inc. in connection with the Bayou La Loutre Ridge Restoration and Marsh Creation project or other projects involving CPRA, since he will not be assisting S&ME, Inc. for compensation in transactions in which he participated while employed by CPRA. However, Mr. Mattson would be prohibited from providing the services he provided to CPRA, as listed in the facts he provided, through a contract to S&ME, Inc.

In connection with an Answer filed in Docket No. 19-320 by Judy Placer, a member of the Lafayette City/Parish Recreation Advisory Commission, instructed the staff to advise Ms. Placer that she is not required to file an Annual Tier 2.1 personal financial disclosure statement, since the Commission does not meet the spending threshold set forth in Section 1124.2.1 of the Code of Governmental Ethics.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the May 16-17, 2019 meetings.

The Board considered a proposed consent opinion in Docket No. 11-1422 regarding Paulette Bruno, former Principal of Robert Moton Charter School in New Orleans. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Paulette Bruno, former Principal of the Robert Russa Moton Charter School in New Orleans, agrees that (1) a violation of Section 1112B(1) of the Code of Governmental Ethics occurred by virtue of her participation in the promotion of her daughter-in-law, Suzanne Encalarde, whose employment did not present a violation of the Code of Governmental Ethics, including the signing of the

personnel form, when she knew that Ms. Encalarde had a substantial economic interest in an annual salary increase of \$3,000 as a result of the promotion; (2) a violation of Section 1119A of the Code of Governmental Ethics occurred by virtue of the employment of her daughter-in-law, L'Tanya Randolph Bruno, as Data Manager for Robert Russa Moton Charter School, while she served as the agency head of Robert Russa Moton Charter School as Principal & Chief Executive Officer; and, (3) a violation of Section 1112B(1) of the Code of Governmental Ethics occurred by virtue of her participation in the promotion of her daughter-in-law, L'Tanya Randolph Bruno, including the signing of the personnel form, when she knew that L'Tanya Randolph Bruno had a substantial economic interest in an annual salary increase of \$3,300 as a result of the promotion and in which Ms. Bruno agrees to pay a fine of \$2,000 and that in the event of a failure to pay, the Board of Ethics may file suit to compel immediate payment of any balance due. The Board further dismissed the charges against Paulette Bruno pending before the Ethics Adjudicatory Board (EAB).

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for "good cause" waivers of late fees assessed against candidates and committees included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket Nos. 18-1485, 18-1486, 19-194, 19-540 and 19-603, taking the following action:

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-282 for a waiver of the \$400 and \$360 late fees assessed against Fredell Scott Griffin, a candidate for Rapides Parish School Board, District I, in the

November 6, 2018 election, for filing the Special and 10-G campaign finance disclosure reports 11 and 9 days late, respectively. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 and \$360 late fees.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-480 for a waiver of the \$400 late fee assessed against Arthur Lee, a candidate for Mayor of the City of Tallulah, Madison Parish, in the March 24, 2018 election, for filing the 2018 Supplemental campaign finance disclosure report 17 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-483 for a waiver of the \$1,000 and \$400 late fees assessed against Donald "Don" Flanigan, a candidate for St. Tammany Parish School Board, District 1, in the November 6, 2018 election, for filing the 10-P and 10-G campaign finance disclosure reports 93 and 63 days late, respectively. On motion made, seconded and unanimously passed, the Board waived the \$1,000 and \$400 late fees, since it was Mr. Flanigan's first election, he had no other late filings and his son provided medical documentation as requested.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-570 for a waiver of the \$2,000 late fee assessed against Louisiana Assessor's Association PAC, a political action committee, its committee's chairperson, Bobby W. Edmiston, and treasurer, Michelle K. Rodgers, for filing the 2018 Annual campaign finance disclosure report 10 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,000 but suspended \$1,500 conditioned upon future compliance with

the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-588 for a waiver of the \$400 late fee assessed against Kim Fralick, a candidate for City of Central Council, in the April 5, 2014 election, for filing the 2018 Supplemental campaign finance disclosure report 11 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended \$300 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-589 for a waiver of the \$400 late fee assessed against John A. Keller, a candidate for Council Member III, City of Mandeville, St. Tammany Parish, in the March 5, 2016 election, for filing the 2018 Supplemental campaign finance disclosure report 10 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended \$300 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 18-1485 for a waiver of the \$540 late fee assessed against Eddie J. Boudreaux, Jr., a candidate for Jefferson Parish School Board, District 2, in the November 6, 2018 election, for filing the 30-P campaign finance disclosure report 9 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$540 late fee but suspended \$340

conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 18-1486 for a waiver of the \$520 late fee assessed against Nicole Dufrene, a candidate for St. Charles Parish School Board, District 4, in the November 6, 2018 election, for filing the 30-P campaign finance disclosure report 13 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$520 late fee but suspended \$340 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-194 for a waiver of the \$240 late fee assessed against Lorraine Wimberly, a candidate for Ascension Parish School Board, District 6, Seat A, in the November 6, 2018 election, for filing the 10-G campaign finance disclosure report 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee and instructed the staff to offer Ms. Wimberly a payment plan.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-540 for a waiver of the \$480 late fee assessed against Betty Robinson, a candidate for Tangipahoa Parish School Board, District G, in the November 6, 2018 election, for filing the 10-G campaign finance disclosure report 12 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$480 late fee but suspended \$380

conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-603 for a waiver of the \$600 late fee assessed against Richard Wayne "Rick" Foster, a candidate for Tangipahoa Parish Coroner in the October 24, 2015 election, for filing the 2018 Supplemental campaign finance disclosure report 45 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

The Board considered a request in Docket No. 19-457 for a waiver of the \$450 late fee assessed against Kevin Cunningham for the late filing of the January 2019 Lobbying Expenditure Report. On motion made, seconded and unanimously passed, the Board declined to waive the \$450 late fee.

The Board considered a request in Docket No. 19-587 for a waiver of the \$350 late fee assessed against Anh Singhania for the late filing of the January 2019 Lobbying Expenditure Report. On motion made, seconded and unanimously passed, the Board waived the \$350 late fee, since it was Dr. Singhania's first late filing and based on the waiver guidelines.

The Board unanimously agreed to take action on the requests for "good cause" waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure waiver chart taking the following

action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 18-1374 from Claude Wiggins of three (3) \$1,500 late fees;
Docket No. 18-1427 from Colby Daniels of a \$1,050 late fee; and,
Docket No. 18-1469 from Jeri Pilk of a \$1,500 late fee.

The Board considered a request in Docket No. 18-1466 for a waiver of the \$2,500 late fee assessed against Esco Leroy Owens, Jr., Livingston Parish Constable, Ward 9, Justice of the Peace, for filing his amended 2016 Tier 2 Annual personal financial disclosure statement 257 days late. On motion made, seconded and unanimously passed, the Board waived the \$2,500 late fee, based on medical hardship.

The Board considered a request in Docket No. 18-1526 for a waiver of the \$800 late fee assessed against Jaclyn Suzanne Hotard, a member of the St. John the Baptist Council, for filing her amended 2016 Tier 2 Annual personal financial disclosure statement 8 days late. On motion made, seconded and unanimously passed, the Board rescinded the \$800 late fee, since Ms. Hotard's Notice of Delinquency was issued to a home in which Ms. Hotard does not reside.

On motion made, seconded and unanimously passed, the Board considered the items in G33 en globo and accepted the staff recommendations taking the following action:

The Board considered a request for reconsideration in Docket No. 18-1425 for a waiver of the \$950 late fee assessed against George Randolph Floyd, a member of the Used Motor Vehicle Commission, for filing 2106 Tier 2.1 Annual personal financial disclosure statement 19 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$950 late fee.

The Board considered a request for reconsideration in Docket No. 18-1517 for a waiver of

the suspension of all but \$450 of a \$1,500 late fee in connection with a waiver request submitted by Mary G. Bel, a member of the State Board of Massage Therapy, for filing her amended 2017 Tier 2.1 Annual personal financial disclosure statement 97 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$1,500 late fee in connection with the amended 2017 Tier 2.1 Annual personal financial disclosure statement but suspended \$1,050 conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request for reconsideration in Docket No. 19-091 for a waiver of the \$1,500 late fee assessed against Robert "Boss" Babers, Jr., Chief of Police, Village of Edgefield, Red River Parish for filing his 2016 Tier 3 Annual personal financial disclosure statement 280 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$750 conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics and instructed the staff to offer Mr. Babers a payment plan.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request for reconsideration in Docket No. 19-185 for a waiver of the \$3,000 late fee assessed against Friends of Marlin Gusman PAC, a political action committee, and its committee's chairperson, Brian King, related to its participation in the October 14, 2017 election, for failure to file the 30-P campaign finance disclosure report. On motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$3,000 late fee.

The Board unanimously resolved into executive session.

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EXECUTIVE SESSION

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The Board unanimously resolved into general business session.

The Board considered a Motion to Dismiss in Docket No. 15-984 in connection with charges issued against Mary Schultheis, Executive Director/President of Crescent City WIC, Inc. (CCWIC) and the sole member of Crescent City Career Institute, LLC. On motion made, seconded and unanimously passed, the Board granted the Motion to Dismiss the charges.

The Board considered a Motion to Dismiss in Docket No. 16-017 in connection with charges issued against Thomas Bell, a former police officer with the Lake Charles Police Department. On motion made, seconded and unanimously passed, the Board granted the Motion to Dismiss the charges.

On motion made, seconded and unanimously passed, the Board dismissed the charges in Docket No. 16-259 against Jeremy Johnson, former Code Enforcement officer for the City of Baton Rouge.

The Board discussed the process for the selection of a new Executive Secretary following Ms. Grier's retirement. Following discussion, the Board instructed Ms. Allen, Ms. Grier and Kristy Gary, Deputy Ethics Administrator, to review the applications and make a selection of ten (10) candidates to be submitted to the Board for consideration. The Board will appoint a sub-committee of three (3) members to interview the ten candidates and select three (3) candidates for the entire Board to interview. Ms. Allen advised the Board that an appointment must be made prior to September 16th at which time the Civil Service list of eligible candidates expires and the position

vacancy would have to be re-posted to the Civil Service website. Board Member Bruneau stated that he would like to see the list of the ten (10) candidates before the sub-committee is appointed and suggested that the Board convene into executive session to discuss personnel matters. Ms. Allen advised the Board that she would include the item on the Board's July executive business agenda.

On motion made, seconded and unanimously passed, the Board adjourned at 1:10 p.m.

Secretary

APPROVED:

Chairman